

MEETING:       AUDIT AND GOVERNANCE COMMITTEE  
DATE:           Wednesday 22nd June, 2022  
TIME:           3.00 pm  
VENUE:         Assembly Hall - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

## Member

Councillor Robinson (Chair)  
Councillor Roche (Vice-Chair)  
Councillor Burns  
Councillor Cluskey  
Councillor Dowd  
Councillor John Joseph Kelly  
Councillor McGinnity  
Councillor Shaw  
Councillor Sir Ron Watson

## Substitute

Councillor Bradshaw  
Councillor Murphy  
Councillor Lunn-Bates  
Councillor Howard  
Councillor Spencer  
Councillor Hansen  
Councillor Halsall  
Councillor Pugh  
Councillor Prendergast

COMMITTEE OFFICER:     Ruth Appleby  
                                  Democratic Services Officer  
Telephone:                0151 934 2181  
E-mail:                     ruth.appleby@sefton.gov.uk

**See overleaf for COVID Guidance and the requirements in relation to Public Attendance.**

**If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.**

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

## **COVID GUIDANCE IN RELATION TO PUBLIC ATTENDANCE**

In light of ongoing Covid-19 social distancing restrictions, there is limited capacity for members of the press and public to be present in the meeting room indicated on the front page of the agenda at any one time. We would ask parties remain in the meeting room solely for the duration of consideration of the Committee report(s) to which their interests relate.

We therefore request that if you wish to attend the Committee to please register in advance of the meeting via email to [ruth.appleby@sefton.gov.uk](mailto:ruth.appleby@sefton.gov.uk) by no later than **12:00 (noon) on the day of the meeting.**

Please include in your email –

- Your name;
- Your email address;
- Your Contact telephone number; and
- The details of the report in which you are interested.

# **A G E N D A**

## **1. Apologies for absence**

## **2. Declarations of Interest**

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer to determine whether the Member should withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting or remain in the meeting and vote on the relevant decision.

## **3. Minutes** (Pages 5 - 6)

Minutes of the meeting held on 25 May 2022

## **4. Constitutional Amendment – Cabinet Agendas** (Pages 7 - 18)

Report of the Executive Director of Corporate Resources and Customer Services

## **5. Constitution Amendments** (Pages 19 - 48)

Report of the Executive Director of Corporate Resources and Customer Services

## **6. Annual Report and Opinion of the Chief Internal Auditor** (Pages 49 - 80)

Report of the Executive Director of Corporate Resources and Customer Services

## **7. Treasury Management Position to May 2022** (Pages 81 - 90)

Report of the Executive Director of Corporate Resources and Customer Services

<b>8. Assurance Framework</b>	(Pages 91 - 98)
Report of the Executive Director of Corporate Resources and Customer Services	
<b>9. Corporate Risk Management</b>	(Pages 99 - 140)
1. Report of the Executive Director of Corporate Resources and Customer Services	
2. Presentation by the Executive Director of Corporate Resources and Customer Services on 'Inflation Risk Facing the Council'.	
<b>10. Financial Management Code</b>	(Pages 141 - 164)
Report of the Executive Director of Corporate Resources and Customer Services	
<b>11. Risk and Audit Service Performance</b>	(Pages 165 - 210)
Report of the Executive Director of Corporate Resources and Customer Services	